

RECEIVED BEFORE THE ARIZONA CORPORATION COMMISSION

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2 1999 SEP In P 4: 11 CARL J. KUNASEK **CHAIRMAN** 3 JIM IRVIN AZ CORP COMMISSION COMMISSIONER DOCUMENT CONTROL 4 WILLIAM A. MUNDELL COMMISSIONER 5 IN THE MATTER OF: DOCKET NO. S-03297A-99-0000 6 PREMIERE FINANCIAL GROUP, INC. 7 an Arizona corporation 1245 West Chandler Blvd., #30 Arizona Corporation Commission 8 Chandler, Arizona 85224 DOCKETED 9 HERITAGE PROPERTIES, INC. An Arizona corporation SEP 1 0 1999 10 1245 West Chandler Blvd., #30 Chandler, Arizona 85224 DOCKETED BY 11 ESTATE GUARDIAN SERVICES, INC. 12 A dissolved Arizona corporation 500 W. Ray Road, #1 13 Chandler, Arizona 85224 14 JOHN H. LAWSON, and individual, 15643 S. 6th Place 15 Phoenix, Arizona 85048 16 Respondents. 17 JOHN H. LAWSON, an individual, DOCKET NO. S-03358A-99-0000 15643 S. 6th Place 18 Phoenix, Arizona 85048 19 PREMIERE FINANCIAL GROUP, INC., an Arizona corporation 20 1245 West Chandler Blvd., #30 Chandler, Arizona 85224 21 Respondents. PROCEDURAL ORDER 22 BY THE COMMISSION: 23 On July 29, 1999, the Securities Division ("Division") of the Arizona Corporation 24 Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order 25 for Relief ("Notice") against Premiere Financial Group, Inc., Heritage Properties, Inc., Estate

Guardian Services, Inc. and Mr. John H. Lawson (collectively the "Respondents") in which the

Division alleged multiple violations of the Securities Act ("Act") in connection with the offer and

sale of securities in the form of notes or other evidences of indebtedness.

The Respondents were duly served with copies of the Notice.

On August 11, 1999, counsel for the Respondents filed a timely request for hearing with respect to the Notice.

On August 11, 1999, the Division issued a Temporary Order to Cease and Desist ("Temporary Order") against Mr. Lawson and Premiere in which it alleged ongoing violations of the Act and therein the Respondents (Lawson and Premiere) were ordered to cease and desist from further violations of the Act.

On August 17, 1999, the proceeding with respect to the Notice was set for a Pre-Hearing on August 31, 1999.

On August 19, 1999, the Respondents and the Division requested a continuance of the Pre-Hearing until September 1, 1999.

On August 24, 1999, by Procedural Order, the Pre-Hearing was continued to September 1, 1999.

On August 26, 1999, the Division filed a Motion to Consolidate the proceedings with respect to the Notice with that of the Temporary Order.

On September 1, 1999, the Pre-Hearing with respect to the Notice was held. The Division and the Respondents were represented by counsel. Counsel for the Respondents further indicated that he was also representing Mr. Lawson and Premiere with respect to the Temporary Order. Upon agreement of the parties, the proceeding was recessed with an evidentiary hearing scheduled for October 13, 14 and 15, 1999, in Phoenix, Arizona. After the Pre-Hearing Mr. Lawson and Premiere

On September 2, 1999, the parties participated in a teleconference.

requested a hearing with respect to the Temporary Order.

On September 8, 1999, the Division and the Respondents in the Notice and Temporary Order stipulated to the consolidation of the proceedings and further stipulated to an indefinite extension of the Temporary Order with respect to Mr. Lawson and Premiere.

Accordingly, the proceeding should be consolidated for purposes of hearing.

IT IS THEREFORE ORDERED that the above-captioned proceedings with respect to Docket

Nos. S-03297A-99-0000 and S-03358A-99-0000 shall be consolidated for purposes of hearing. 2 IT IS FURTHER ORDERED that the hearing with respect to the above-captioned proceedings shall take place on October 13, 1999, at 9:30 a.m., at the Capitol Center, Conference 3 Room B, 515 South 15th Avenue, Phoenix, Arizona. 4 5 IT IS FURTHER ORDERED that the parties shall also set aside October 14 and 15, 1999, for the taking of additional evidence, if necessary. 6 DATED this 10 7/4 day of September, 1999. 7 8 9 10 HEARING OFFICER 11 12 Copies of the foregoing mailed/delivered this // day of September, 1999 to: 13 14 John R. Augustine, Jr. JOHN R. AUGUSTINE, JR., P.C. 15 The Citadel, Suite 300 2727 North Third Street 16 Phoenix, Arizona 85004-1106 Attorney for Premiere Financial Group, Inc., 17 Heritage Properties, Inc. and John H. Lawson 18 Robert A. Zumoff Assistant Attorney General 19 ARIZONA ATTORNEY GENERAL'S OFFICE 1275 West Washington Street 20 Phoenix, Arizona 85007 21 W. Mark Sendrow, Director Securities Division 22 ARIZONA CORPORATION COMMISSION 1300 West Washington Street 23 Phoenix, Arizona 85007 24 ARIZONA REPORTING SERVICE, INC. 2627 N. Third Street, Suite Three 25 Phoenix, Arizona 85004-1104 26 By: 27 Brenda Sanchez Secretary to Marc E. Stern

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